



Filing ID #10021310

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Mr. Mark Joaquin Williams  
**Status:** Congressional Candidate  
**State/District:** CO02

## FILING INFORMATION

**Filing Type:** Candidate Report  
**Filing Year:** 2018  
**Filing Date:** 05/14/2018

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
401K Retirement Account ⇒ Am Fds EuroPacific Grth R4 Fd [MF]		\$1,001 - \$15,000	Tax-Deferred		
401K Retirement Account ⇒ Core Plus Bond Inst Fund [MF]		\$1,001 - \$15,000	Tax-Deferred		
401K Retirement Account ⇒ LargeCap Growth I R6 Fund [MF]		\$1,001 - \$15,000	Tax-Deferred		
401K Retirement Account ⇒ LargeCap S&P 500 Idx Inst Fund [MF]		\$1,001 - \$15,000	Tax-Deferred		
401K Retirement Account ⇒ MFS Value R3 Fund [MF]		\$1,001 - \$15,000	Tax-Deferred		
401K Retirement Account ⇒ MidCap S&P 400 Index R6 Fund [MF]		\$1 - \$1,000	Tax-Deferred		
401K Retirement Account ⇒ Principal Stable Value Inst Fund [MF]		\$1,001 - \$15,000	Tax-Deferred		
401K Retirement Account ⇒		\$1 - \$1,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
SmallCap S&P 600 Index R6 Fund [MF]					
401K Retirement Account ⇒ Templeton Glbl Bond A Fd [MF]					
		\$1,001 - \$15,000	Tax-Deferred		
Two Wings Counseling, 100% Interest [OL]	SP	\$1 - \$1,000	Therapy fees	\$15,001 - \$50,000	\$15,001 - \$50,000
LOCATION: Boulder, CO, US DESCRIPTION: Therapy practice					
USAA Checking Account [BA]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
DESCRIPTION: USAA Checking Account					

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

### SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Two Wings Counseling	Spouse's Business	\$13,500.00	\$36,213.00
COMMENTS: Income from spouse's therapy practice			
Black Lab Sports	Consulting Fee	\$2,000.00	N/A
COMMENTS: Consulting fee for Black lab Sports around Cognitive Performance and Neuroscience			

### SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Elevations Credit Union	April 2017	Line of credit on personal residence	\$15,001 - \$50,000
JT	USAA	December 2016	Credit Card	\$15,001 - \$50,000
JT	Charles Schwab VISA	Feb 2014	Credit Card	\$15,001 - \$50,000
SP	Navient	1997	Student Loan	\$100,001 - \$250,000
DC	US Department of Education	Jan 2018	College Loan	\$10,000 - \$15,000

### SCHEDULE E: POSITIONS

Position	Name of Organization
Proprietor	Mark J. Williams
COMMENTS: Consulting Trade Name in the State of Colorado	
CEO	Dynamic Human Solutions

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
October 2015	Mark J. Williams and Gaia, Inc	401(K) Plan
COMMENTS: Participant in the Gaia 401(K) plan though no longer an employee		

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

Source (Name and Address)	Brief Description of Duties
Gaiam TV (Boulder, CO, US)	Consulting around Business Intelligence and Business Dev
COMMENTS: Consulting project with duties ranging from development of Business Intelligence processes to business plan development for a streaming wellness offering.	

SCHEDULE A ASSET CLASS DETAILS

<div>◦ 401K Retirement Account</div> <div>DESCRIPTION: Gaia Inc employee 401(K) plan</div>
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EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

COMMENTS

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Mr. Mark Joaquin Williams , 05/14/2018